

280C F 12/24 #9

Docket No.: 23091/28 (ACT-162)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Dan A. Steinberg, David W. Sherrer and Mindaugas F. Dautartas

EXAMINER:

Not yet assigned

GROUP ART UNIT:

2874

SERIAL NO.:

09/966,973

CONFIRMATION NO:

5813

FILED:

September 28, 2001

FOR:

Optical Device Package

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner for Patents, Washington D.C. 20231 on:

By: Deborah Celeste

Documber 12, 2002

Office of Initial Patent Examinations

Customer Service Center

Assistant Commissioner for Patents

Washington D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

An error was noted on the official filing receipt for the above-referenced Patent Application as follows:

APPLICANT(S):

Dautargas should be Dautartas

NON-PUBLICATION REQUEST:

Yes should be No

EARLY PUBLICATION REQUEST:

No should be Yes

Attached is a copy of a Request for Early Publication Pursuant to 37 CFR §1.219 which was filed with the application on September 28, 2001.

A copy of the filing receipt with corrections noted in red is enclosed.

Please charge deposit account number 50-0369 in the amount of \$100 for correction of inventor's name which was misspelled on the Declaration. Kindly correct the error and issue a corrected filing receipt.

Respectfully submitted,

Maria M. Eliseeva

Reg. No. 43,328

Customer No. 21710

Brown Rudnick Berlack Israels, LLP

One Financial Center

Box IP

Boston, MA 02111 Tel: 617-856-8340

Fax: 617-856-8201

December 11, 2002

'

١



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

policant(s): Steinberg et al.

Examiner: Not assigned

erial No.:

Not assigned

Group: Art Unit Not assigned

23.31 28/pm

Filed:

Concurrently

Docket: ACT-162 (1117-8)

For:

OPTICAL DEVICE PACKAGE

Assistant Commissioner for Patents Washington, D.C. 20231

REQUEST FOR EARLY PUBLICATION **PURSUANT TO 37 CFR §1.219**

Sir:

Applicants hereby request that the application filed concurrently herewith be published early pursuant to 37 CFR §1.219. Applicants have included a check in the amount of \$300 to cover the official surcharges associated with Applicants' request for early publication.

Respectfully submitted

Adrian T. Calderone

Reg. No. 31,746

Attorney for Applicant(s)

DILWORTH & BARRESE, LLP 333 Earle Ovington Blvd. Uniondale, NY 11553 (516)228-8484 ATC/rmb

CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL 164 324 483 US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: September 28, 2001

Adrian 7. Calderone



Page 1 of 2 , Receipt

Commissioner for Patent Washington, DC 2023

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY DOCKET NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/966,973
 09/28/2001
 2874
 1176
 ACT-162 (1117-8)
 6
 22
 3

CONFIRMATION NO. 5813

Date Mailed: 11/26/2002

UPDATED FILING RECEIPT

OC00000009158966

Maria M. Eliseeva, Esq. Brown Rudnick Berlack Israels LLP One Financial Center Boston, MA 02111

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dan A. Steinberg, Blacksburg, VA; David W. Sherrer, Blacksburg, VA; Mindaugas F. Dautargas, Blacksburg, VA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/249,794 11/16/2000

Foreign Applications

If Required, Foreign Filing License Granted: 11/01/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes 10

Early Publication Request: No 1/25

Title

Optical device package

Preliminary Class



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls. Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).